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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/017,183	12/07/2001	Coach Wei	EMC-06-235(PRO)ORD1	5883
24227	7590	05/12/2009		
EMC CORPORATION OFFICE OF THE GENERAL COUNSEL 176 SOUTH STREET HOPKINTON, MA 01748			EXAMINER ZHEN, LI B	
			ART UNIT	PAPER NUMBER
			2194	
			MAIL DATE	DELIVERY MODE
			05/12/2009	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/017,183	<b>Applicant(s)</b> WEI ET AL.	
	<b>Examiner</b> LI B. ZHEN	<b>Art Unit</b> 2194	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 26 February 2009.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-18 and 22-25 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 1-18, 24 and 25 is/are allowed.
- 6) ☒ Claim(s) 22 and 23 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

**DETAILED ACTION**

1. Claims 1 – 18 and 22 – 25 are pending in the current application.

***Allowable Subject Matter***

2. Claims 1 – 18, 24 and 25 are allowed.

***Response to Arguments***

3. Applicant's arguments with respect to the claims have been considered but are moot in view of the new ground(s) of rejection.

***Correction of Inventorship Under 37 CFR 1.48(a)***

4. In view of the papers filed 06/14/2007, it has been found that this nonprovisional application, as filed, through error and without deceptive intent, improperly set forth the inventorship, and accordingly, this application has been corrected in compliance with 37 CFR 1.48(a). The inventorship of this application has been changed by the addition of ZAKIR MAGDUM as an inventor.

The application will be forwarded to the Office of Initial Patent Examination (OIPE) for issuance of a corrected filing receipt, and correction of Office records to reflect the inventorship as corrected.

***Claim Rejections - 35 USC § 103***

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5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

7. **Claims 22 and 23 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 6,901,554 to Bahrs et al. [hereinafter Bahrs, previously cited] in view of “What are Enterprise JavaBeans components?: Part 1: The history and goals of EJB architecture” [hereinafter Nordby, previously cited] and further in view of U.S. 7,404,177 to Greenfield et al. [hereinafter Greenfield].**

8. As to claim 22, Bahrs teaches a system for distributing an application [col. 14, lines 23 – 36] to a plurality of client devices having different display capabilities [col. 44, lines 13 – 50] includes at least a server [a server 104; col. 12, lines 15 – 45], at least a

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client device [clients 108, 110, and 112; col. 12, lines 16 – 43], and a communication means [network 102; col. 12, lines 16 – 45], the system comprising:

a presentation layer of the application [ViewController; col. 15, line 52 – col. 16, line 12] written using a server-side API [col. 19, lines 12 – 30] based network programming model [col. 28, lines 42 – 67];

a business logic layer of the application [business logic; col. 31, lines 5 – 15 and col. 14, lines 23 – 36] and a data layer of the application [data model; col. 35, line 57 – col. 36, line 6] both of which are written with the server-side API and running on the server [a server 104; col. 12, lines 16 – 43; server side business logic, col. 31, lines 5 – 15]; and

the server-side API having a supporting infrastructure that: sends [Object data may take various forms, such as Extensible Markup Language (XML), String, Hypertext Markup Language (HTML), key/value, Remote Method Invocation (RMI), J/XFS, RS232; col. 17, lines 25 – 39]. Bahrs discloses that the ViewControllerImpl that implements the ViewController and JTC interfaces is usually a Java Component or Container or bean [col. 19, lines 42 – 56]. Bahrs does not specifically disclose applications that are developed once and deployed multiple times.

However, Nordby teaches an EJB component can be developed once and then deployed on multiple platforms without recompilation or source code modification [p. 4, EJB Technology design goals]. Bahrs teaches that the ViewControllerImpl that implements the ViewController and JTC interfaces is usually a Java Component or Container or bean. It would have been obvious to a person of ordinary skill in the art at

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the time the invention was made to implement the ViewControllerImpl of Bahrs as a Java bean and provide applications that can be developed once and deployed multiple times because this simplifies development of middleware components that are transactional, scalable, and portable [p. 1, 4th paragraph of Nordby] and provides a robust, scalable environment that can support mission-critical enterprise information systems [p. 1, 5th paragraph of Nordby]. Bahrs as modified does not teach different User Interface (UI) records comprising information associated with the application's user interface information to a plurality of client devices, each UI record modifying the application's user interface according to the display capabilities of the respective client to enable display of a modified version of the application's user interface by the respective client, wherein the creation of each UI record occurs on the server-side API without user input on any client device of the plurality of client devices and further wherein the information in each UI record depends on the type of the respective client, handles communications problems, renders the application's user interface, dispatches necessary user input events back to the server for processing.

However, Greenfield teaches different User Interface (UI) records comprising information [Ispec definition; col. 8, lines 12 – 23] associated with the application's user interface information [col. 8, lines 46 – 60] to a plurality of client devices [col. 8, line 60 – col. 9, line 6], each UI record modifying the application's user interface according to the display capabilities of the respective client to enable display of a modified version of the application's user interface by the respective client [LINC Ispec interface definitions and builds JavaBeans components for use in client application programs; col. 10, lines 45 –

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63], wherein the creation of each UI record occurs on the server-side API without user input on any client device of the plurality of client devices [col. 3, lines 58 – 67] and further wherein the information in each UI record depends on the type of the respective client [col. 8, lines 12 – 23] handles communications problems [col. 18, lines 11 – 23], renders the application's user interface [col. 19, lines 18 – 33], dispatches necessary user input events back to the server for processing [col. 10, lines 56 – 63].

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to further modify Bahrs and Nordby to incorporate the features of Greenfield. One of ordinary skill in the art would have been motivated to make the combination because this provides a method for adapting a legacy software application developed for an environment comprising a centralized computing resource interconnected to a series of computer terminal devices to a network environment [col. 2, lines 13 – 32 of Greenfield].

9. As to claim 23, Bahrs as modified teaches an apparatus for distributing an application over a network [col. 14, lines 23 – 36 of Bahrs] to a plurality of client devices having different display capabilities [col. 44, lines 13 – 50 of Bahrs] where the apparatus includes:

a server [a server 104; col. 12, lines 15 – 45 of Bahrs];

a network communication means [network 102; col. 12, lines 16 – 45 of Bahrs];

a storage device for storing, for each client device of the plurality of client devices, a User Interface (UI) record [Ispec definition; col. 8, lines 12 – 23 of Greenfield]

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associated with a re-implemented [replacement may be accomplished by creating the developer's own implementation of ViewControllerBaseImpl that implements the methods GetComponent( ), setEnabled(boolean enable), and setVisible(boolean visible), col. 20, lines 33 – 52 of Bahrs; overriding methods of the ViewController class, col. 31, line 53 – col. 32, line 22 of Bahrs; examiner notes that when the methods of the ViewController class is overridden with the developer own implementation, the View Controller class is re-implemented] network based API module that is used to transparently replace the API on which the application was developed [ViewController interface 3902 extends JTC interface 3904; col. 35, lines 45 – 54 and col. 44, line 13 – 50 of Bahrs] and is customized according to display capabilities of the respective client device [LINC Ispec interface definitions and builds JavaBeans components for use in client application programs; col. 10, lines 45 – 63 of Greenfield];

a first means for running an application of the plurality of applications where a business logic [business logic; col. 31, lines 5 – 15 and col. 14, lines 23 – 36 of Bahrs] of the application runs on the server [a server 104; col. 12, lines 16 – 43; server side business logic, col. 31, lines 5 - 15 of Bahrs];

a second means for forwarding a given UI record to a client in response to a launch of the application by the client to display the application interface on the client device in accordance with display capabilities of the client device [col. 8, line 60 – col. 9, line 6 of Greenfield] wherein the creation of each UI record occurs on the server-side API without user input on any client device of the plurality of client devices [col. 3, lines



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58 – 67 of Greenfield] and further wherein the information in each UI record depends on the type of the respective client [col. 8, lines 12 – 23 of Greenfield];

a third means for transferring the user interactions on the client device to the server [col. 18, line 63 – col. 19, line 13 of Bahrs], calculating the appropriate response to the input [deliver the information to the server's service for processing; col. 16, line 56 – col. 17, line 15 of Bahrs], and transmitting the appropriate response to the client machine [response data will be returned to the Transporter 524 in a RequestEvent; col. 16, line 56 – col. 17, line 15 of Bahrs];

a fourth means for updating the display of the application on the client device based on the responses from the server [return data may be sent to ViewController 502 to refresh the view displayed on the screen to the user; col. 16, line 56 – col. 17, line 15 of Bahrs];

wherein use of the re-implemented network aware API enables the application [col. 19, lines 42 – 56 of Bahrs and col. 19, lines 18 – 33 of Greenfield] and application interface to be developed once and deployed multiple times on different client devices having different display capabilities [EJB component can be developed once and then deployed on multiple platforms without recompilation or source code modification; p. 4, EJB Technology design goals of Nordby].

### ***Conclusion***

10. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP

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§ 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

#### **CONTACT INFORMATION**

11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Li B. Zhen whose telephone number is (571) 272-3768. The examiner can normally be reached on Mon - Fri, 8:30am - 5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Meng-Ai An can be reached on (571)272-3756. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Li B. Zhen/  
Primary Examiner, Art Unit 2194

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